

Title page

- **Title** : The effects of humic acid on the toxicity of graphene oxide to *Scenedesmus obliquus* and *Daphnia magna*

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The effects of humic acid on the toxicity of graphene oxide to

Scenedesmus obliquus and *Daphnia magna*

Abstract

The wide production and application of graphene oxide (GO) has inevitably caused its release to the aquatic ecosystem. However, the influence of natural organic matter (NOM) on the toxicity of GO to aquatic organisms needs further investigation. In this study, we conducted several toxicity tests (i.e., acute toxicity and oxidative damage) with *Scenedesmus obliquus* (*S. obliquus*) and *Daphnia magna* (*D. magna*), as well as a chronic toxicity test with *D. magna*, to investigate the toxicity of GO with or without the presence of humic acid (HA). Our results showed that GO induced significant toxicity to *S. obliquus* and *D. magna*, and the median lethal concentrations (72 h-LC₅₀ and 48 h-LC₅₀) for acute toxicity were 20.6 and 84.2 mg L⁻¹, respectively, while the 21 d-LC₅₀ for chronic toxicity to *D. magna* was 3.3 mg L⁻¹. Additionally, HA mitigated the acute toxicity of GO to *S. obliquus* and *D. magna* by 28.6% and 32.3%, respectively, and mitigated the chronic toxicity of GO to *D. magna*. In the presence of HA, the decreased toxicity of GO was attributed to the alleviation of oxidative damage by HA to both *S. obliquus* and *D. magna*, the mitigation of surface envelopment to *S. obliquus* and the body accumulation in *D. magna*. Our study provides useful and basic biotoxicity data of GO with a consideration of its interaction with NOM which could aid in preventing an overestimation of the risks of GO to the natural aquatic environment.

Keywords: acute toxicity; chronic toxicity; oxidative damage; surface envelopment; body accumulation

1. Introduction

Graphene, a new class of carbon nanomaterials, is a two-dimensional crystalline material that is composed of a single layer of sp² hybridized carbon atoms with a honeycomb-like structure (Pretti *et al.* 2014). Due to its excellent electronic, mechanical, thermal and physicochemical properties, graphene has been used in many areas (e.g., diagnosis, drug delivery systems and cancer therapy) (Nogueira *et al.* 2015). As a functionalized form of graphene, graphene oxide (GO, containing epoxy,

28 hydroxyl and carboxyl groups) exhibits excellent hydrophilic, biocompatible, mechanical and
29 electrochemical properties, resulting in its extensive application in biotechnology, electronics and
30 other areas (Ruoff & Park 2009; Liu *et al.* 2013). The ubiquitous manufacture and application of GO
31 has made its release to the aqueous environment inevitable.

32 Although GO has a tendency to aggregate in aqueous suspensions, which consequently make it
33 less available to interact with organisms, it could cause toxicity. GO has been reported to cause acute
34 toxicity to bacteria (Liu *et al.* 2011; Zhang *et al.* 2016), protozoans (Hu *et al.* 2015), zooplankton
35 (Mesarič *et al.* 2013), adult zebrafish (Chen *et al.* 2016) and their embryos (Chen *et al.* 2015;
36 Clemente *et al.* 2017; Zou *et al.* 2018) in the aquatic environment; GO can also as exert effects on
37 oxidative activity within algae and *Euglena gracilis* (Nogueira *et al.* 2015) (Hu *et al.* 2015). Moreover,
38 GO may cause immunotoxicity in adult *Danio rerio* (Chen *et al.* 2016). *Scenedesmus obliquus* (*S.*
39 *obliquus*) and *Daphnia magna* (*D. magna*), which are the standard organisms for aquatic risk
40 assessment due to their easy cultivation and high sensitivity, have been used to assess the toxicity of
41 nanomaterials such as graphene (Guo *et al.* 2013), carbon nanotubes (Stanley *et al.* 2016) and
42 fullerene (Chen *et al.* 2014). Although there are some reports on the toxicity of GO in *S. obliquus* and
43 *D. magna* (Castro *et al.* 2018), the toxicity mechanism was unclear and needed further investigation to
44 determine the toxicity of GO to aquatic organisms.

45 Once released into the environment, nanomaterials are subjected to alterations through their
46 interactions with naturally occurring macromolecules, e.g., natural organic matter (NOM). NOM is likely
47 to substantially modify the properties and behaviors of nanomaterial. NOM displaces the weakly bound
48 synthetic capping agents on the nanoparticle surface to form nanoscale coatings, which “masks” the
49 nanoparticles’ effects; thus, surface modification could be a major factor that determines the exposure
50 characteristics of nanomaterials. (Lowry *et al.* 2012). The adsorbed NOM macromolecules provide both
51 charge and steric stabilization of nanomaterials, although they may also result in bridging flocculation, so
52 their effects are complex and can be difficult to predict (Lin *et al.* 2017; Park *et al.* 2018). On the other
53 hand, GO is an amphiphile with hydrophilic edges and a more hydrophobic basal plane (Hu *et al.*
54 2018). The amphiphilic character of GO and the interaction between GO and NOM results in dramatic
55 changes in the aggregation, deposition and toxic properties of GO. Some researchers found that HA
56 has the potential to mitigate the biotoxicity of nanomaterials to the aquatic environment (Chen *et al.*

57 2014; Chen *et al.* 2015; Zhang *et al.* 2016; Clemente *et al.* 2017). Other researchers have found that
58 the presence of HA increased the colloidal stability of GO and caused an increase in the toxicity of
59 graphene oxide to *D. magna* by affecting their growth rate (Castro *et al.* 2018). The effect of HA on
60 the toxicity of nanomaterials appears unclear and controversial; therefore, further studies on the
61 interactions of nanomaterials with HA should be performed to reduce the uncertainty of the
62 environmental risk assessments that are conducted on nanomaterials.

63 Here, we conducted a study on GO using several toxicity tests (i.e., acute toxicity, chronic
64 toxicity and oxidative damage tests), with or without the presence of HA. The acute toxicity was
65 characterized by the inhibition of cell growth and chlorophyll-a (Chl-a) synthesis in *S. obliquus* as
66 well as mortality to *D. magna*. The chronic toxicity to *D. magna* was shown by mortality to the parent
67 animals (PA) and the reproductive toxicity to the offspring. The reactive oxygen species (ROS) levels
68 and superoxide dismutase (SOD) and catalase (CAT) activities were used to reflect the oxidative
69 damage that was induced by GO. Furthermore, we also checked the morphology status of *S. obliquus*
70 and body accumulation of *D. magna* with scanning electron microscopy (SEM) and light microscopy
71 to explore the mechanism of toxicity of GO. Our results provide useful and basic biotoxicity data for
72 GO with a consideration of its interaction with NOM, providing an example of how to avoid the
73 overestimation of nanomaterial risks to the natural aquatic environment.

74 **2. Materials and methods**

75 **2.1 Materials**

76 GO (thickness: 0.8-1.2 nm; diameter: 0.5-5.0 μm ; signal layer ratio: ~99%; purity: >99 wt%),
77 which was synthesized using the classical Hummers' method, was obtained from the Nanjing
78 XFNANO Materials Tech Co., Ltd., China. Humic acid sodium salt was selected as an NOM model
79 due to its solubility in aqueous solution and was purchased from Sigma-Aldrich. Other chemical
80 reagents were of spectral or analytical grade.

81 GO particles and HA were dispersed in ultrapure water to prepare the stock solution at the final
82 concentrations of 2 g L⁻¹ and 1 g L⁻¹, respectively. The stock solutions were sonicated for 30 min
83 before being diluted to different exposure concentrations using the relevant culture medium of *S.*
84 *obliquus* and *D. magna*, and the components are listed in Table S1 and Table S2.

85 **2.2 Characterization of GO and GO-HA**

86 The size and charge distribution of GO (10 mg L⁻¹) in ultrapure water and the three
87 concentrations of HA (5, 10, 25 mg L⁻¹) were characterized with the Zetasizer Nano analyzer
88 (Nano-ZS90, Malvern, U.K.). Briefly, GO and GO-HA were dissolved in BG-11 medium (pH at 7.5
89 with sterilization) and ultrapure water, respectively. Next, ultrasonic dispersion was performed for 30
90 min to measure the diameter and Zeta potential. A UV spectrophotometer (V-560, Jasco, Japan) was
91 used to determine the absorption wavelength of GO and GO-HA in the range of 200-800 nm. A small
92 amount of GO and GO-HA were dissolved in BG-11 medium, dried and analyzed with a Raman
93 spectrometer (DXR, Thermo Fisher, USA) and Fourier-transform infrared spectrometer (FT-IR, 6700,
94 Thermo Fisher, USA) to determine the degree of carbon structure defects and the composition of
95 chemical bonds, respectively.

96 **2.3 Toxicity tests**

97 **2.3.1 Culture of test organisms**

98 The algae *S. obliquus* were obtained from the Institute of Hydrobiology of the Chinese Academy
99 of Sciences (Wuhan, China). *S. obliquus* were cultured in an illumination incubator (LRH-250 Gb,
100 Shanghai Bank Equipment, China) at a constant temperature of 25.0 ± 0.5°C with a 12:12 h light-dark
101 cycle and a cool-white fluorescent light intensity of approximately 5000 lx to exponential growth
102 phase. The cultures were shaken three times per day and repositioned within the incubator to
103 minimize any possible illumination and temperature differences and to ensure optimal growth.

104 *D. magna*, originally obtained from Dalian Ocean University (Dalian, China), were
105 continuously cultured in our laboratory for more than four years. *D. magna* were fed with *S. obliquus*
106 and were cultured in dechlorinated tap water at a constant temperature of 20 ± 1°C with a 16:8 h
107 light-dark cycle.

108 **2.3.2 Acute toxicity test**

109 The growth inhibition test in *S. obliquus* was performed according to the guideline of OECD 201
110 (OECD 2006). Algae cells in the exponential growth phase (2×10⁵ cell mL⁻¹) were exposed to five
111 concentrations of GO (5, 10, 20, 40 and 80 mg L⁻¹) and one control (BG-11 medium) in a 100 mL test

112 solution, and tests were performed in triplicate. Then, the cell density of the algae culture was
113 measured at 0 h and 72 h with UV spectrophotometer at 690 nm. The Chl-a content was determined
114 based on ethanol extraction method (Yang *et al.* 2013), and the details of this test are described in our
115 previous study (Ying Zhang 2015).

116 The acute toxicity test in *D. magna* was performed according to the guideline of OECD 202,
117 (OECD 2004) with some modifications. Five *D. magna* neonates (< 24 h old) were exposed to series
118 of GO concentrations (50.0, 65.0, 84.5, 110.0 and 143.0 mg L⁻¹) and one control (artificial freshwater,
119 AF) in a 50 mL test solution, and the tests were performed in quadruplicate. After this exposure, the
120 48 h mortality rate was calculated.

121 **2.3.3 Chronic toxicity test**

122 The chronic toxicity test was used to assess the effect of GO and GO-HA on the reproduction of
123 *D. magna*. The test was conducted according to the OECD 211 (OECD 1998) with slight
124 modifications. One *D. magna* neonate (< 24 h old) was exposed to sublethal concentrations of GO at 0,
125 0.01, 0.1, 1.0, 10, 50 mg L⁻¹ in ten replicates. The exposure mediums were renewed every 48 h, and
126 food (*S. obliquus*) was added daily. After 21 d of exposure, the mortality rate of PA, time to produce
127 first brood, offspring number of first brood, offspring number of the most productive brood, and total
128 number of offspring were calculated.

129 **2.3.4 Oxidative damage test**

130 The intracellular ROS content of *S. obliquus* was measured according to Knauert and Knauer
131 (Knauert & Knauer 2008) by using 2',7'-dichlorofluorescein-diacetate (DCFH-DA). Briefly, after the
132 cells were stained with 10 μmol/L DCFH-DA, the fluorescence was measured using a fluorescence
133 spectrophotometer (Thermo Fisher, USA) with the excitation wavelength of 485 nm and emission
134 wavelength of 525 nm. The relative ROS level was represented as the fluorescence intensity ratio of
135 the exposure groups to the control group. SOD and CAT activity assays were performed using
136 commercially available kit (A001-3, A007-1) according to the manufacturer's protocols (Nanjing
137 Jiancheng Bioengineering Institute, China).

138 The oxidative damage in *D. magna* was measured according to the manufacturer's protocol
139 (Nanjing Jiancheng Bioengineering Institute, China). After exposure, the juvenile *D. magna* (4 d old)

140 were homogenized in PBS (0.1 M, pH 7.4). The 10% (w/v) supernatant was collected after the
141 homogenate was centrifuged at 10,000 r/min for 10 min (4 °C), and then the ROS level and the
142 activities of SOD, CAT were analyzed with a commercially available kit (Nanjing Jiancheng
143 Bioengineering Institute, China) in triplicate.

144 **2.4 Scanning electron microscopy (SEM)**

145 For the observation of cell morphology of algae after exposure to GO, samples were centrifuged
146 at 10,000 r/min for 15 min, followed by the removal of the supernatants. The pellets that were
147 obtained after centrifugation were fixed overnight with 2.5% glutaraldehyde and washed with the
148 BG-11 medium three times. Subsequently, the samples were dehydrated in an ethanol gradient (30%,
149 50%, 70%, 80%, 90%, 95%, and 100%), washed with tert-butyl alcohol and dried under vacuum. The
150 SEM images of algae samples were obtained using SEM (SU8010, Hitachi, Japan).

151 **2.5. Light microscopy observation**

152 The ingestion of GO in the bodies of *D. magna* was observed with a light microscope. After their
153 exposure to GO, the *D. magna* were washed 2 or 3 times with AF and then placed under a light
154 microscope (Model-YS100, Olympus, Japan). The images were visualized through a color-view
155 camera (EOS-760D, Canon, Japan) and analyzed using the AnalySIS software (Soft Imaging System,
156 USA).

157 **2.6 Statistical analysis**

158 All statistical analyses were conducted with Origin 8.0 (Origin Lab, USA) and SPSS 18.0 (IBM,
159 USA). The data shown in this study are expressed as the mean \pm SD unless otherwise specified. A
160 one-way ANOVA with Tukey's test ($p < 0.05$) was used to test for a significant difference.

161 **3. Results**

162 **3.1 Characteristics of GO and GO-HA**

163 Physicochemical properties are important parameters that influence the toxicity of nanomaterials,
164 so the particle size, Zeta potential, absorption wavelength, carbon structures and chemical bond
165 compositions of the GO and GO-HA samples were analyzed. As shown in **Table 1**, the size of GO in

166 ultrapure water is 1108 nm and decreases to 405 nm when the concentration of HA is 25 mg L⁻¹. The
 167 Zeta potential of GO increased from -31.7 mV to -41.5 mV with an increasing concentration of HA
 168 (**Table 1**). The change in the particle size and Zeta potential of GO in different concentrations of HA
 169 suspensions indicated that HA could reduce the agglomeration of GO by promoting better dispersion.
 170 The UV-Vis absorption spectroscopy showed that GO and GO-HA exhibited a strong absorption band
 171 that was centered at 227 nm, suggesting that HA did not change the absorption band of GO (**Fig. S1a**).
 172 In **Fig. S1b**, the Raman spectra of GO and GO-HA show that the intensity ratio of the D band to the G
 173 band decreased from 1.02 to 0.97 in the presence of HA, suggesting that HA decreased the disordered
 174 structure of GO. The FT-IR spectra of GO and GO-HA comprised bands at 3430, 2975, 1618, and
 175 1048 cm⁻¹, which were attributed to the stretching vibrations of O-H, C-H, C=O and C-O, while the
 176 peak at 1398 cm⁻¹ was ascribed to the deformation vibration of CH₃ (**Fig. S1c**).

177 **Table 1** The size and Zeta potential of GO in different suspensions

Suspensions	Ultrapure water	HA (mg/L)		
		5	10	25
Size (nm)	1108 ± 51	960 ± 214	755 ± 153	405 ± 12
Zeta potential (mV)	-31.7 ± 2.9	-35.1 ± 2.7	-39 ± 1.7	-41.5 ± 0.8

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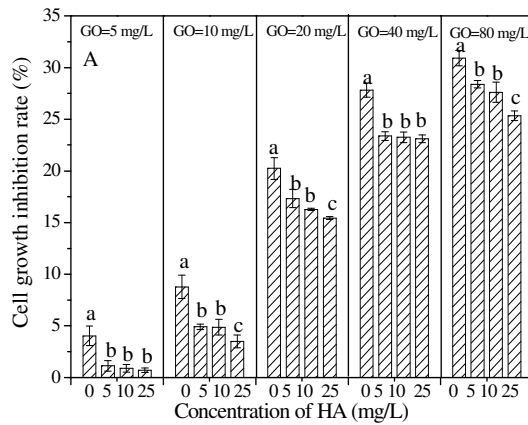
179 3.2 Effect of HA on acute toxicity induced by GO

180 The effect of HA (0, 5, 10, 25 mg L⁻¹) on the acute toxicity of GO to *S. obliquus* and *D. magna* is
 181 shown in **Fig. 1**. **Fig. 1A** and **Fig. 1B** show that both the cell growth inhibition rate and Chl-a
 182 synthesis inhibition rate of *S. obliquus* increased with the concentration of GO, and the inhibition rate
 183 decreased significantly in a concentration-dependent manner when HA was present. As shown in **Fig.**
 184 **1C**, the 48 h exposure to GO induces significant mortality in *D. magna*, while the addition of HA
 185 significantly mitigates the mortality rate.

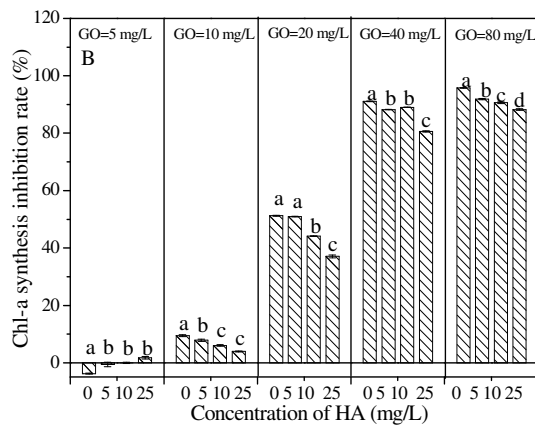
186 The median value of the lethal concentration (LC₅₀) of *S. obliquus* after a 72 h exposure to GO
 187 increased from 20.6 to 26.5 mg L⁻¹ (by 28.6%) in the presence of HA (**Table 2**), indicating that HA
 188 could reduce the acute toxicity of GO to *S. obliquus* in a concentration-dependent manner. The 48
 189 h-LC₅₀ of *D. magna* increased from 84.3 to 111.4 mg L⁻¹ in the presence of HA (**Table 2**), where the
 190 mitigation rate reached 32.3%. Therefore, both the results suggested that HA could significantly

191 mitigate the acute toxicity of GO to *S. obliquus* and *D. magna*.

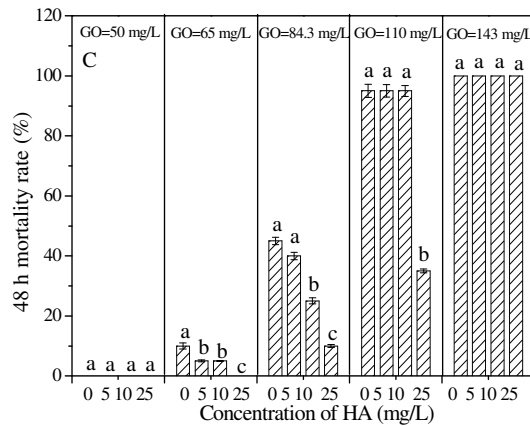
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Fig. 1. The effect of HA on acute toxicity to *S. obliquus* and *D. magna* that was induced by GO.

196

Note: (A) Cell growth inhibition rate and (B) Chl-a synthesis inhibition rate in *S. obliquus*; (C) 48 h mortality rate in *D.*

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magna. The different letters above the columns denote significant differences at $p < 0.05$.

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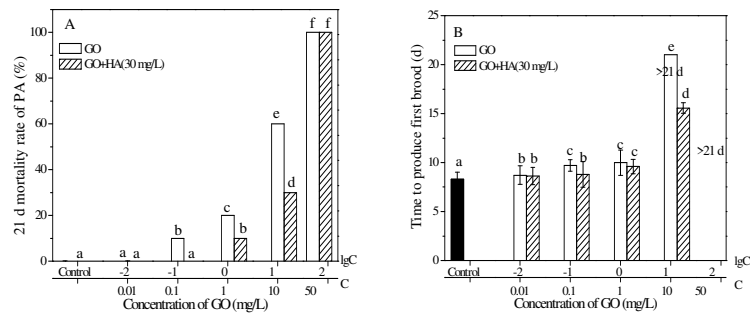
Table 2 The effect of different HA concentrations on the LC₅₀ values of GO in *S. obliquus* and *D. magna*

HA concentrations	<i>S. obliquus</i>	<i>D. magna</i>
(mg L ⁻¹)	LC ₅₀ (mg L ⁻¹)	

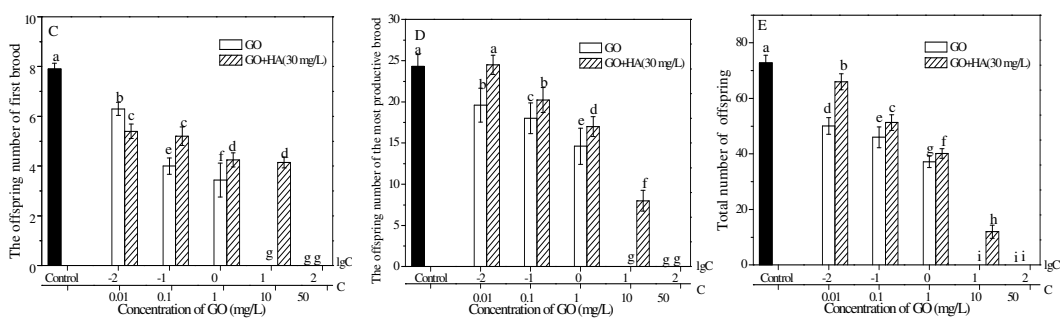
0	20.6	84.3
5	21.8	87.7
10	23.5	90.4
25	26.5	111.4

200 3.3 Effect of HA on chronic toxicity induced by GO

201 Based on the investigation of the acute toxicity of GO, we conducted chronic toxicity tests (21 d
202 mortality test and reproduction test) with *D. magna*, and the results are given in **Fig. 2**. As shown in
203 **Fig. 2A**, the 21 d mortality rate of PA increased gradually from 0 to 100% when the GO concentration
204 increased from 0.01 mg L⁻¹ to 50 mg L⁻¹, which suggested that the 21 d mortality rate of *D. magna*
205 was concentration-dependent with GO. When HA was present, the 21 d mortality rate of PA
206 decreased significantly, with the 21 d-LC₅₀ increasing from 3.3 mg L⁻¹ to 9.7 mg L⁻¹ (**Fig. 2A**),
207 suggesting that HA could mitigate the mortality rate of PA. The results of the reproductive toxicity
208 tests are given in **Fig. 2 (B-E)**. It can be seen from **Fig. 2B** that the time to produce the first brood
209 gradually increased with increasing concentrations of GO, and the first brood was not found in the
210 experimental period of 21 d at GO concentration ≥ 10 mg L⁻¹. However, the presence of HA
211 significantly reduced the time to the produce first brood compared exposure to GO. The offspring
212 number of the first brood, offspring number of the most productive brood and total number of
213 offspring also gradually decreased with the increasing concentrations of GO in a
214 concentration-dependent manner (**Fig. 2C-E**). When HA was present, the production of neonates was
215 increased compared with treatment with GO alone at the same concentration of GO (**Fig. 2C-E**),
216 suggesting that HA could significantly mitigate the reproductive toxicity of GO to *D. magna*.



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Fig. 2. Effect of HA on chronic toxicity to *D. magna* induced by GO.

220

Note: (A) 21 d mortality rate of PA, (B) Time to produce first brood, (C) The offspring number of first brood, (D) The

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offspring number of the most productive brood, (E) Total number of offspring. The different letters above the columns

222

denote significant differences at $p < 0.05$.

223

3.4 Effect of HA on oxidative damage induced by GO

224

In order to explore the effect of HA (0, 5, 10, 25 mg L⁻¹) on oxidative damage induced by GO,

225

we conducted toxicity tests with *S. obliquus* and *D. magna*. Additionally, the ROS level and SOD and

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CAT activities were used to reflect the mechanisms of toxicity in *S. obliquus* and *D. magna* (**Fig. 3**).

227

As shown in **Fig. 3A**, the ROS level of *S. obliquus* was increased significantly after exposure to

228

GO (20 mg L⁻¹) for 72 h, indicating that GO could damage the oxidative system of *S. obliquus*. The

229

relative ROS level was decreased significantly with the addition of HA in a concentration-dependent

230

manner, which suggested that HA could mitigate the high levels of oxidative stress that were induced

231

by GO in *S. obliquus*. After exposure to GO, the SOD and CAT activities of *S. obliquus* were also

232

increased in a similar pattern to that of ROS (**Fig. 3B** and **Fig. 3C**). Compared with the GO exposure

233

group, the SOD and CAT activities were gradually decreased with increasing concentrations of HA.

234

This result indicated that the defensive ability of the antioxidant system to remove hydrogen peroxide

235

radicals and superoxide anion radicals was reduced, reflecting the possibility that HA mitigated the

236

oxidative damaged that was induced by GO.

237

As shown in **Fig. 3D**, GO (10 mg L⁻¹) exposure significantly promoted the generation of ROS in

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D. magna. The relative ROS level reached 136% compared with the control, and the ROS content

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decreased with the presence of HA. This result suggested that the oxidative damage of GO to *D.*

240

magna was mitigated with the coadministration of HA. GO exposure also enhanced the activities of

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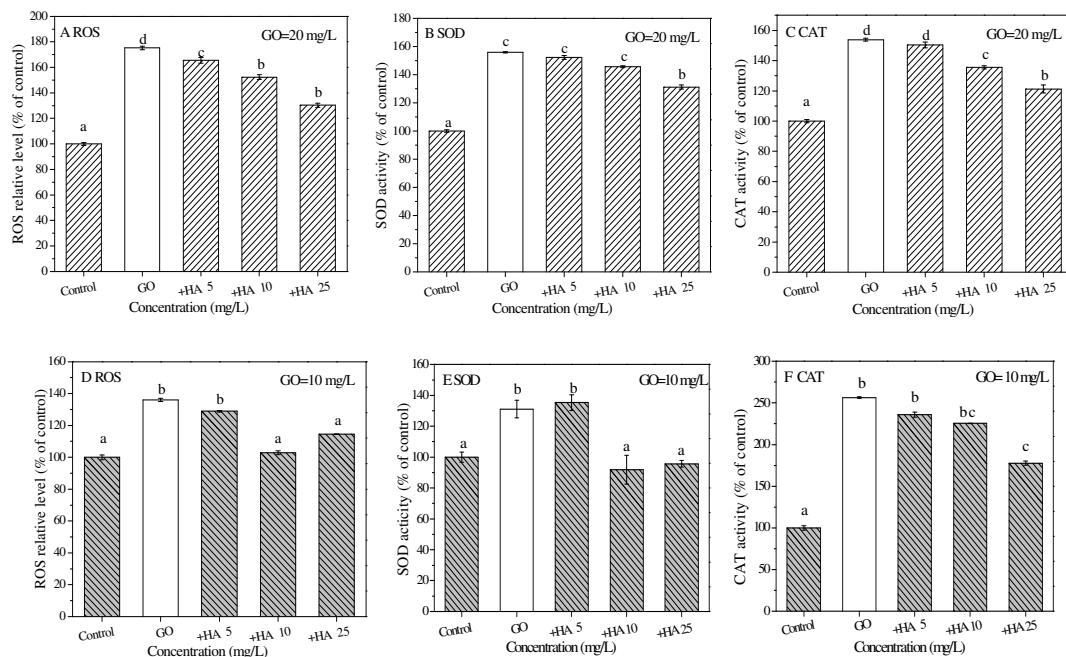
SOD and CAT in *D. magna*, especially the CAT activity, which increased by more than twofold

242

compared with the control (**Fig. 3E** and **Fig. 3F**). An increase in the HA concentration resulted in

243 significant decreases in the SOD and CAT activities, suggesting that HA could mitigate the oxidative
 244 damage to *D. magna* that was induced by GO.

245



246

247 **Fig. 3.** The effect of HA on oxidative damage that was induced by GO in *S. obliquus* and *D. magna*.

248 Note: (A) ROS relative level, (B) SOD activity and (C) CAT activity of *S. obliquus*; (D) ROS relative level, (E) SOD
 249 activity and (F) CAT activity of *D. magna*. The different letters above columns denote significant differences at $p <$
 250 0.05.

251 3.5 Effect of HA on surface morphology alterations induced by GO in *S. obliquus*

252 To clarify the impact of HA on the morphological alterations that were induced by GO in *S.*
 253 *obliquus*, we applied SEM to evaluate the cellular surface of *S. obliquus* after exposure to GO for 72 h.
 254 As shown in **Fig. 4**, the cells in the control group were intact without morphological damage and had
 255 uniform diameters of approximately 2 μ m. However, there were apparent shrinkages in the cell
 256 surfaces after exposure to GO and GO-HA, indicating that the cell morphology was damaged
 257 considerably. Furthermore, the cells surface was enveloped with nanomaterial in the GO exposure
 258 groups (as denoted by the black circles), while the envelopment was markedly reduced in the GO-HA
 259 exposure groups (as denoted by the black arrows), which suggested that HA could reduce the
 260 agglomeration of GO on the cell surface and mitigate the physical damage that was induced by GO.

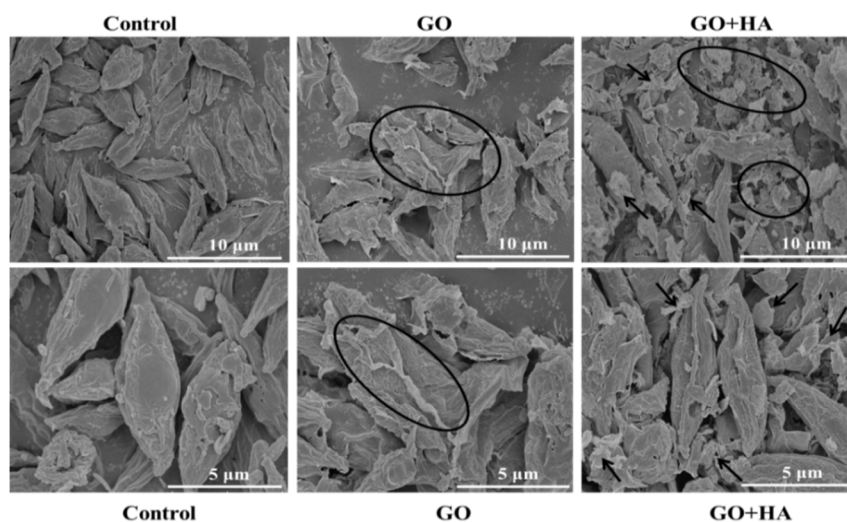


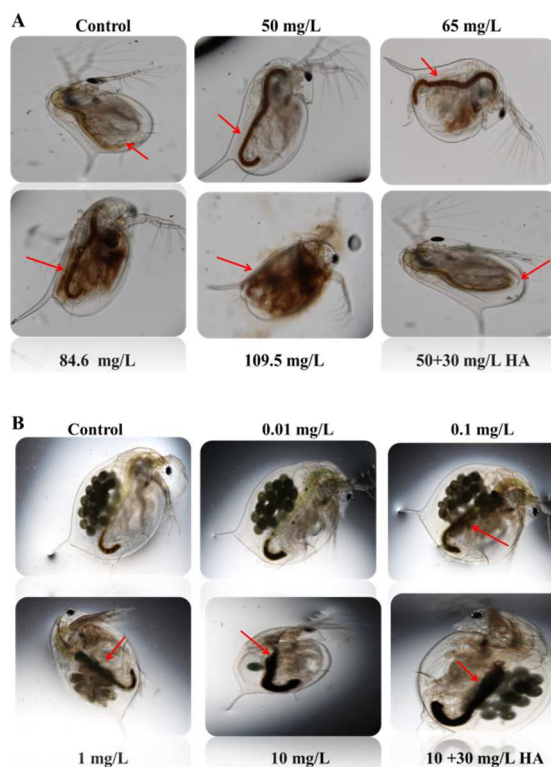
Fig. 4. SEM images of algae cells exposed to GO with or without HA.

Note: Black arrows indicate the scattered debris of nanomaterials; black circles indicate the envelopment of cells by the nanomaterials.

3.6 Effect of HA on the accumulation of GO in *D. magna*

The accumulation of GO in the body of *D. magna* was investigated at 48 h and 21 d, and the results are shown in **Fig. 5**. As shown in **Fig. 5A**, that there was a dark brown accumulation in the digestive tract of *D. magna* after 48 h GO exposure (as denoted by arrows), indicating that GO could be swallowed directly by the *D. magna* and that it accumulated in the digestive tract. The addition of HA (30 mg L⁻¹) mitigated the accumulation of GO in the digestive tract (**Fig. 5A**), indicating that HA could contribute to the excretion of GO. After the 21 d exposure, the accumulation of GO in the digestive tract of *D. magna* (as denoted by arrows) was still observed; meanwhile, the number of offspring decreased with the increased concentrations of GO (**Fig. 5B**). This indicated that the uptake of GO was a long-term process, which in turn affected the reproduction of *D. magna*. Compared with the GO exposure groups, the accumulation of GO in *D. magna* decreased remarkably in the presence of HA, and the number of offspring increased considerably, suggesting that the presence of HA could accelerate the excretion of GO and then mitigate the reproductive toxicity of GO.

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280

Fig. 5. Effect of HA on the accumulation of GO in *D. magna*.

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Note: (A) Effect of HA on the accumulation of GO in *D. magna* after a 48 h exposure; (B) Effect of HA on the

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accumulation of GO in *D. magna* after a 21 d exposure.

283 4 Discussion

284

To investigate the toxic effects of GO on aquatic organisms, we conducted multiple tests of toxicity (i.e., acute toxicity, chronic toxicity and oxidative damage) with *S. obliquus* and *D. magna*. In the case of acute toxicity, the 72 h-EC₅₀ and 48 h-EC₅₀ of *S. obliquus* and *D. magna* were calculated to be 20.6 and 84.2 mg L⁻¹, indicating that *S. obliquus* was more sensitive to the toxicity of GO than *D. magna*. Other researchers have reported a similar EC₅₀ of GO; e.g., Nogueira et al. reported that GO was toxic to algae, (*Raphidocelis subcapitata*) with a 96 h-EC₅₀ of 20 mg L⁻¹ (Nogueira et al. 2015), and Zhao et al. reported that the 96-h EC₅₀ of GO algae (*Chlorella pyrenoidosa*) was 37 mg L⁻¹ (Zhao et al. 2017b). As for the toxicity of GO to *D. magna*, Lv et al. reported that the 72 h- LC50 was 45.4 mg L⁻¹ (Lv et al. 2018), while the 48 h-EC50 was reported to be 150.75 mg L⁻¹ (Liu et al. 2018a). Our results lay in the range of the reported values of toxicity data, which confirmed the substantial toxicity of GO and supplemented the more basic data on GO toxicity to include more aquatic organisms.

295

In addition to acute toxicity, chronic toxicity studies should also receive adequate attention in the

296 conduction of a potential risk assessment of nanomaterials (Arndt *et al.* 2013). The concentration of
297 GO in the environment is reported to be approximately 0.01-1 mg L⁻¹ (Mendonca *et al.* 2011; Seda *et*
298 *al.* 2012; Souza *et al.* 2018); this low environmental concentration of GO did not exhibit acute lethal
299 toxicity to *D. magna* in our study. However, the chronic toxicity test after 21 d reflected that GO
300 exposure could cause adverse effects on the reproduction of *D. magna* (e.g., the time to produce first
301 brood and the number of offspring), or even cause the death of PA at this low concentration. Our
302 results were consistent with other studies showing that GO caused a significant decrease in the
303 number of neonates after a long-term exposure when its concentration was ≥ 0.4 mg L⁻¹ (Mendonca *et*
304 *al.* 2011; Seda *et al.* 2012; Liu *et al.* 2018b; Souza *et al.* 2018). Mendonca *et al.* and Seda *et al.*
305 reported that C₇₀-GA and diamond nanomaterials could also induce chronic toxicity to *D. magna* even
306 at very low concentrations. Thus, chronic toxicity tests are required and necessary for risk
307 considerations of long-term exposures.

308 The effect of HA on the toxicity of GO was further investigated in our study, which could reflect
309 the substantial toxicity of GO when it is released to the natural environment. At the level of acute
310 toxicity, we found that HA could mitigate the acute toxicity of GO to *S. obliquus* and *D. magna* in a
311 concentration-dependent manner (see **Fig. 1**). Similar results have reported that HA mitigated the
312 toxicity of G and GO to zebrafish embryos, *Escherichia coli* and wheat (Hu *et al.* 2014; Chen *et al.*
313 2015; Zhang *et al.* 2016). From the mitigation rates of acute toxicity to *S. obliquus* and *D. magna*, we
314 concluded that effect of HA on the mitigation of acute toxicity in *D. magna* was more obvious than in
315 *S. obliquus*, which may be attributable to the interaction of HA with the enhanced excretion ability of
316 *D. magna*. This phenomenon was also found by Chen *et al.*, who noted that HA did reduce the uptake
317 and accelerated the depuration of fullerene in *D. magna* (Chen *et al.* 2014). The addition of HA also
318 mitigated the chronic toxicity of GO to *D. magna*, as shown by the results of PA mortality and
319 reproductive toxicity. As we know, our study is the first report to reflect the influence of HA on the
320 chronic toxicity of GO in Daphnia, providing useful information for the chronic toxicity data of GO.
321 In conclusion, more attention should be given to the influence of HA on the biotoxicity of GO to
322 avoid an overestimation of the risk of GO in water in nature.

323 The toxicity mechanisms of GO were explored from the following aspects in our study: surface
324 envelopment, oxidative damage and body accumulation. We found that oxidative damage was a

325 common mechanism of GO toxicity in *S. obliquus* and *D. magna*. When *S. obliquus* and *D. magna*
326 were exposed to GO, both of their cellular ROS levels (such as $O_2^{\cdot-}$ and H_2O_2) increased significantly.
327 In general, the antioxidant enzymes (such as SOD and CAT) can specifically catalyze $O_2^{\cdot-}$ and H_2O_2
328 into O_2 and H_2O , thus maintaining ROS at a relatively stable level and protecting organisms from
329 damage by excessive ROS (Hu *et al.* 2015). Therefore, the cellular antioxidant enzymes are regarded
330 as the sensitive biomarkers for various environmental stresses. In the present study, the increased
331 activities of SOD and CAT indicated an enhanced ability of *S. obliquus* and *D. magna* to scavenge the
332 $O_2^{\cdot-}$ and H_2O_2 radicals, which suggesting that GO induced excessive ROS and caused damage to the
333 oxidative system. Accordingly, we considered that oxidative damage could be the common pathway
334 that contributed to the toxicity of GO to *S. obliquus* and *D. magna*. In the presence of HA, we found
335 that the ROS level decreased significantly (**Fig. 3**), which reflected the actions of HA as a free radical
336 scavenger to reduce the oxidative damage of GO to *S. obliquus* and *D. magna*. Similar results were
337 also reported on zebrafish embryos and *Escherichia coli* where HA reduced the oxidative damage of
338 GO (Chen *et al.* 2015; Zhang *et al.* 2016; Clemente *et al.* 2017). Therefore, we concluded that the
339 presence of HA could mitigate the oxidative damage to *S. obliquus* and *D. magna* that was caused by
340 GO.

341 From the morphology alterations of *S. obliquus*, we found that surface envelopment was also one of
342 the contributors to the toxicity of GO. SEM images showed that nanoscale GO covered the cell
343 surfaces, resulting in obvious ruffles on the cells after exposure to GO for 72 h. This physical
344 envelopment has also reported in other studies that demonstrate that reduced graphene oxide, GO and
345 graphene could cause the destruction of the cellular structures of algae (Du *et al.* 2016; Zhao *et al.*
346 2017a). Accordingly, we considered that the surface envelopment could be one of the possible
347 toxicity mechanisms of GO to *S. obliquus*. In the presence of HA, we found that the envelopment of
348 GO on cell surfaces was mitigated. This suggested that HA could mitigate the agglomeration of GO in
349 aquatic solutions, which was confirmed by the decreased particle sizes and increased Zeta potentials
350 of GO in the presence of HA (**Table 1**). Chen *et al.* addressed that the increase in Zeta potentials
351 could minimize the toxicity of nanomaterials by charge repulsion, and our results were consistent with
352 their studies that HA alleviated the toxicity of GO by increasing its surface negative charges (Shim *et*
353 *al.* 2014; Chen *et al.* 2015). Therefore, we speculated that the regulation of Zeta potential and particle

354 size with the HA presence could contribute to the mitigation of surface envelopment caused by GO.

355 Considering the interior influence of GO to *D. magna*, we found that the body accumulation of GO
356 may also contribute to its toxicity. The light microscopy images showed that GO accumulated in the
357 gut of *D. magna* after exposure to GO for 48 h and 21 d (**Fig. 5**). *D. magna*, as a filter-feeding
358 creature, can ingest substances with a diameter of 0.4-4 μm in water (Baun *et al.* 2008). The average
359 particle size of GO in the ultrapure water that was used in our study is 1108 nm (approximately 1 μm),
360 so it is capable of being directly swallowed by *D. magna*. The GO that is swallowed tends to
361 accumulate and block the digestive tract, preventing the normal feeding of *D. magna* and decreasing
362 the number of offspring, even leading to death.

363 The tendency of GO and other nanomaterials to accumulate in the body of *D. magna* due to their
364 small size was also evidenced by other studies (Guo *et al.* 2013; Mesaric *et al.* 2015; Stanley *et al.*
365 2016; Lv *et al.* 2018). Some studies reported that *D. magna* could excrete the accumulated
366 nanomaterials from the body in the case of feeding (Guo *et al.* 2013). However, complete excretion
367 did not occur, as some carbon nanomaterials still remained in its body (Elijah J. Petersen *et al.* 2010).
368 Therefore, we speculated that the obstruction of the digestive tract would be one of the possible
369 toxicity routes of GO to *D. magna* based on our results in **Fig. 5**. We also found that the accumulated
370 nanomaterials decreased remarkably with the presence of HA. Chen *et al.* reported that the ingestion
371 of nanomaterials could be reduced with the increased Zeta potential (Chen *et al.* 2014). The
372 electronegativity of GO was enhanced with the presence of HA in our study, which suggested that the
373 enhanced of Zeta potential may be one contributor to the decrease of accumulated GO in *D. magna*.
374 Meanwhile, the decreased size of GO in the presence of HA may also contribute to the reduction of
375 accumulated GO in *D. magna*, since it was considered that the nanomaterials with a small size were
376 easily excreted by *D. magna* (Chen *et al.* 2014). Therefore, we speculated that both the regulation of
377 Zeta potential and particle size when HA was present were the main contributors to the mitigation of
378 accumulated GO in the body of *D. magna*.

379

380 **5 Conclusion**

381 In this study, we systematically investigated the multilevel toxicity (acute toxicity, chronic
382 toxicity, and oxidative damage) of GO to *S. obliquus* and *D. magna*, as well as the effect of HA
383 coexposure on their toxicities. Our results showed that *S. obliquus* was more sensitive to the toxicity
384 of GO than *D. magna*. HA could significantly mitigate the acute toxicity and oxidative damage of GO
385 to *S. obliquus* and *D. magna* as well as alleviate the chronic toxicity of GO to *D. magna*. HA could
386 also mitigate the surface envelopment in *S. obliquus* and decrease the accumulation of GO in the body
387 of *D. magna*. Our findings aid in understanding the biotoxicity and ecological risks of GO with the
388 consideration of its potential interaction with NOM, avoiding an overestimation of the risks of GO in
389 the natural aquatic environment.

390

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Graphic Abstract

